

Date: 29-04-2024

To,

Listing Department
National Stock Exchange of India Limited,
Exchange Plaza,
Bandra Kurla Complex, Bandra
(East), Mumbai- 400051

Symbol: HOLMARC

ISIN: INE0LXA01019

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2024

Please find herewith Certificate issued Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended on 31st March, 2024 from M/s. SVJS and Associates, Practising Company Secretaries.

This is for your information and record.

Thanking You.

Yours faithfully,

For HOLMARC OPTO-MECHATRONICS LIMITED

VALLATH Digitally signed by
VALLATH PARVATHY
PARVATHY Date: 2024.04.29
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Vallath Parvathy
Company Secretary & Compliance Officer



CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH 2024.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer requests and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **HOLMARC OPTO-MECHATRONICS LIMITED** ('the Company') having its registered office at Building No. 11 / 490, B 7, HMT Industrial Estate Kalamassery, Kanayanoor Taluk, Ernakulam, Kerala-683503, India maintained and provided electronically by **Cameo Corporate Services Limited**, Subramanian Building, No.1, Club House Road, Chennai- 600002, pertaining to the financial year ended **31st March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) a. The Company has not received any requests relating to transfers of securities during the financial year ended 31st March, 2024.
- b. During year ended on 31st March, 2024 the Company was not required to deliver any share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, transposition of securities and duplicate, received during the financial year ended 31st March, 2024, within prescribed time from the respective date of lodgement.

c. There were no requests relating to sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: Nil

Peer Review Certificate No. 648 /2019

UDIN: F008130F000240439

**For SVJS & Associates
Company Secretaries**

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AR P S Date: 2024.04.25
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**CS. Sreekumar P S
Partner
FCS: 8130, CP No: 8067**

25.04.2024
Kochi